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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/808,965	03/25/2004	Raghunath Vitthal Chaudari	A36200-PCT-USA-A 066123.

21003
BAKER & BOTTS
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112

CONFIRMATION NO. 7075

FORMALITIES LETTER



OC000000012925862

Date Mailed: 06/14/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

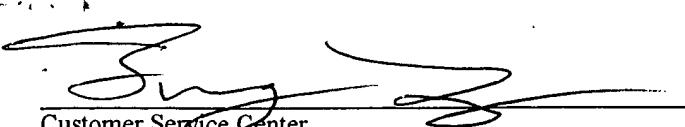
Replies should be mailed to: Mail Stop Missing Parts

07/27/2004 DTESEM1 00000084 10808965 Commissioner for Patents

01 FC:2051 65.00 0P P.O. Box 1450

Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



BAKER BOTTS LLP

Please type a plus sign (+) inside this box → **[+]**

TRANSMITTAL FORM

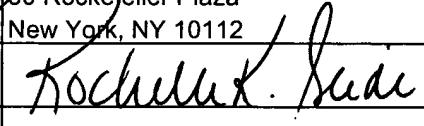
(to be used for all correspondence after initial filing)

		Application Number	10/808,965
		Filing Date	March 25, 2004
		First Named Inventor	Chaudhari et al
		Group Art Unit	1625
		Examiner Name	To be determined
Total Number of Pages in This Submission		Attorney Docket Number	A36200-PCT-USA-A; 066123.0126

ENCLOSURES (check all that apply)

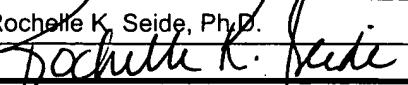
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Executed Combined Declaration and Power of Attorney; copy of Notice to File Missing Parts; check in the amount of \$65 Listing of Attys pursuant to CFR Sec. 1.32 (c)(3); and return postcard.
Remarks		<input type="checkbox"/>

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112	
Signature	 Att Name: Rochelle K. Seide, Ph.D. PTO Reg: 32,300	
Date	July 23, 2004	

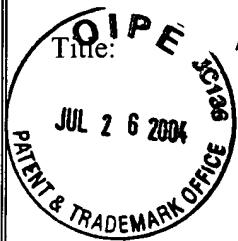
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450: July 23, 2004

Typed or printed name	Rochelle K. Seide, Ph.D.	
Signature		Date July 23, 2004

BAKER BOTTS LLP

Attorney Docket Number: A36200-PCT-USA-A; 066123.0126



A PROCESS FOR THE PREPARATION OF 2-HYDROXY CARBOXYLIC ACIDS

Use Space Below for Additional Information:



BAKER BOTTS LLP

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **(\$ 65)**

<i>Complete if Known</i>	
Application Number	10/808,965
Filing Date	March 25, 2004
First Named Inventor	Chaudhari et al
Examiner Name	To be determined
Art Unit	1625
Attorney Docket No.	A36200-PCT-USA-A; 066123.0126

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number
Deposit Account Name

02-4377

Baker Botts LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee required under 37CFR 1.16 and 1.17
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)		(\$ 0)	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
	- 20 = 0	X 0 = 0	
Independent Claims	- 3 = 0	X 0 = 0	
Multiple Dependent			

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$ 0)

**or number previously paid, if greater. For Reissues, see above

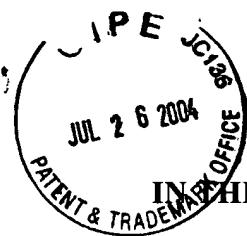
3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	65
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)		(\$ 65)	

(Complete if applicable)

SUBMITTED BY			
Name (Print/Type)	Rochelle K. Seide, Ph.D.	Registration No. (Attorney/Agent)	32,300 Telephone 212.408.2626
Signature	<i>Rochelle K. Seide</i>	Date	July 23, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chaudhari et al.

Application No.: 10/808,965 Examiner: To be assigned

Filed: March 25, 2004 Art Unit: 1625

For: A PROCESS FOR THE PREPARATION OF 2-HYDROXY CARBOXYLIC ACIDS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450.

July 23, 2004
Date of Deposit

Rochelle K. Seide, Ph.D. 32,300
Attorney Name Registration No.
Rochelle K. Seide
Signature July 23, 2004
Date of Signature

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Mail Stop Missing Parts

Sir:

This paper is submitted in response to the Notice to File Missing Parts of Nonprovisional Application ("Notice") issued in the above-identified application on June 14, 2004. Applicants submit herewith a Combined Declaration and Power of Attorney ("Declaration") in compliance with 37 C.F.R. § 1.63. A copy of the Notice is also enclosed.

The Declaration names sixteen attorneys as representatives conferred with the power of attorney. Pursuant to 37. C.F.R. §1.32 (c)(3), Applicants also submit the enclosed separate paper

indicating which of the sixteen attorneys are to be recognized by the Patent Office as attorneys of record.

Applicants also enclose the fee required for late filing of a Declaration pursuant to 37 C.F.R. § 1.16(e). If any additional fee is due, or if any overpayment has been made, in connection with the filing of this response, the Commissioner is authorized to charge any such fee or credit any overpayment, to our Deposit Account No. 02-4377. A duplicate copy of this paper is enclosed.

Respectfully submitted,

BAKER BOTTS LLP



Rochelle K. Seide

Rochelle K. Seide, Ph.D.
Patent Office Reg. No. 32,300

Attorney for Applicant

30 Rockefeller Plaza
New York, NY 10112-4498
(212)-408-2500



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Chaudhari et al.
Application No.: 10/808,965 Examiner: To be assigned
Filed: March 25, 2004 Art Unit: 1625
For: A PROCESS FOR THE PREPARATION OF 2-HYDROXY CARBOXYLIC ACIDS

LISTING OF ATTORNEYS PURSUANT TO 37 C.F.R. §1.32(c)(3)

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450.

July 23, 2004
Date of Deposit

Rochelle K. Seide, Ph.D.
Attorney Name

32,300
Registration No.

Rochelle K. Seide
Signature

July 23, 2004
Date of Signature

Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Mail Stop Missing Parts

Sir:

Pursuant to 37. C.F.R. §1.32 (c)(3), Applicants submit the following list of representatives to be recognized by the Patent Office as attorneys of record.

Rochelle K. Seide, Reg. No. 32,300

Lisa B. Kole, Reg. No. 35,225

Carmella L. Stephens, Reg. No. 41,328

Kimberly J. McGraw, Reg. No. 50,994

Walter M. Egbert, Reg. No. 37,317

Peter Shen, Reg. No. 52,217

The above-listed attorneys are provided on the accompanying Combined Declaration and Power of Attorney, which lists a total of sixteen attorneys. Please enter the individuals listed herein as attorneys of record for the above-identified application.

Respectfully submitted,

BAKER BOTTS LLP



Rochelle K. Seide

Rochelle K. Seide, Ph.D.
Patent Office Reg. No. 32,300

Attorney for Applicant

30 Rockefeller Plaza
New York, NY 10112-4498
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